

Other Investigators/Key Personnel Statement of Financial Interests/PHS

Under SDSU's Conflict of Interest policy, the Principal Investigator and all other investigators/key personnel who have or share responsibility for the design, conduct, or reporting of PHS sponsored projects must report their personal financial interests in any organization(s) that, to the best of the Investigator's knowledge, may have a significant impact on their institutional responsibilities. *You have been named as an investigator/key personnel meeting the above criteria on the following grant:*

PI: _____

Proposal Number: _____

Title of Proposal: _____

Sponsor: Please check one box below:

Federal Agency (specify): _____

Subaward with Federal Agency pass through (specify sponsor and pass through agency) _____

Other _____

Reason for Disclosure: New Proposal Continuation/Additional Funding New/Change Investigator

New Interest Obtained (Previous proposal/award # if applicable): _____

New Sponsor on Existing Project (Previous sponsor name): _____

Request from IRB No Cost Time Extension Other

Budget Period From: _____ Through: _____ Project Period From: _____ Through: _____

Amount Requested (estimated) for Budget Period \$: _____

IRB/IACUC/IBC No(s) if applicable: _____

Disclosure and Certification

Do you, your spouse or registered domestic partner, or dependent children have a Significant Financial Interest related to your institutional responsibilities? (*See next page for definitions of Significant Financial Interests*)

No Yes Addendum attached

Signature **Investigator/Key Personnel**:
(Print form and sign or digital signature ok)

Date:

Print or Type Name of **Investigator/Key Personnel**:

Administrative Review

Sent for Committee Review

Institutional Official:

Date:

Institutional Responsibilities:

Institutional responsibility refers to an Investigator's professional responsibilities on behalf of the Institution, and as defined by the Institution in its policy on financial conflicts of interest, which may include for example: activities such as research, research consultation, teaching, professional practice, institutional committee memberships, and service on panels such as Institutional Review Boards or Data and Safety Monitoring Boards.

Significant Financial Interest(s): Significant Financial Interests include but are not limited to:

1. *For a publicly traded entity: Income or other payment for services including salary, and any payment for services not otherwise identified as salary, including but not limited to, consulting payments, honoraria, paid authorship, or any other payments or consideration of value, including payments made to a health sciences compensation plan, received during the prior 12 months and the value of any equity interest (including stock, stock options or other ownership interests, as determined by public prices or other reasonable measure of fair market value) in the entity as of the date of disclosure, which when aggregated, exceeds \$5,000.*

[Investigators are not required to disclose SFI in mutual funds or other investment vehicles such as retirement funds as long as the Investigator does not directly control the investment decisions made for these investment vehicles]

2. *For a non-publicly traded entity: Income or other payment for services including salary, and any payment for services not otherwise identified as salary, including but not limited to, consulting payments, honoraria, paid authorship, any other payments or consideration of value, including payments made to a health sciences compensation plan, received during the prior 12 months that exceeds \$5,000, or equity interest of any amount, including, but not limited to stock, stock options, or ownership interest in the entity.*

[Investigators are not required to disclose (a) payments made by SDSU or SDSURF, including salary, stipends, royalty payments, honoraria, reimbursement of expenses, or any other remuneration from SDSU or SDSURF; or (b) income for seminars, lectures, teaching engagements, or service on advisory committees or review panels sponsored by federal, state or local governments, a US institution of higher education, or a research institute, academic medical center or hospital that is affiliated with an institution of higher education] so long as they do not involve contractual obligations that would conflict with the researcher's obligations as an SDSU employee under the terms of the University's patent, copyright or other intellectual property policies or its Professional Activities, External (University Policy File, Page 73).

3. *Intellectual property rights and interests: Income received during the previous 12 months that exceeds \$5,000 for such rights and interests.*

[SFIs do not include royalties received from SDSU or SDSURF related to patents or copyrights]

4. *Travel: The occurrence of any sponsored or reimbursed travel (for the Investigator only) must be disclosed whether payment is made to the Investigator directly, or expenses are paid on behalf of the Investigator by a for-profit or non-profit organization:*

- a. *Either prospectively, by listing all travel that the Investigator anticipates will be sponsored or reimbursed during the next 12 months, or*
- b. *Within 30 days of the occurrence if the trip wasn't reported prospectively.*

[Investigators are not required to disclose travel that is reimbursed or sponsored by federal, state or local governments, a US institution of higher education, or a research institute, academic medical center or hospital that is affiliated with an institution of higher education]

Under the California Public Records Act, this information may be made available to the public upon request.